



PUPPET® SECURITY COMPLIANCE ENFORCEMENT TERMS AND CONDITIONS

These PUPPET® SECURITY COMPLIANCE ENFORCEMENT TERMS AND CONDITIONS (these “Terms”) constitute a binding agreement by and between Perforce Software, Inc., a Delaware corporation, on behalf of itself and its Affiliates (“Perforce”), and the “Customer,” on behalf of itself and its Affiliates, as identified on the signature page attached to these Terms. These Terms will be effective as of the last date entered underneath the parties’ signatures (the “Effective Date”).

1. **DEFINED TERMS.** For purposes hereof, the terms provided below, when used anywhere in these Terms with initial capital letters, will have the respective meanings as set forth below:
 - 1.1. “Affiliate” means any natural person, partnership, corporation, association, limited liability company, joint stock company, trust, joint venture, unincorporated organization, estate, labor union, or a government entity that, directly or indirectly, controls, is controlled by, or is under common control with another party. For purposes of this definition, “control” means the possession, directly or indirectly, of the power to direct or cause the direction of the management and policies of a party whether through the ownership of voting securities, by contract, or otherwise.
 - 1.2. “Node” is a single network-connected device such as a server, desktop, storage appliance, network device (*e.g.*, routers or a load balancer), or laptop (virtual machines that have a unique IP address require a separate Node from the physical machine on which they reside).
 - 1.3. “Order” means a duly authorized quotation issued by Perforce to Customer that specifies the Software to be licensed and may include other terms and conditions governing such Software (such as the fees and the term of the license) and a purchase order, if applicable, issued by Customer to Puppet in response to and reflecting the information contained in the quotation (with no additional or different terms, unless such additional or different terms are expressly accepted by Puppet in writing).
 - 1.4. “OSP Managed Nodes” are Nodes that are deployed by the Customer (or are deployed by an authorized third party at the direction of Customer and who is under contract with the Customer) and managed under the Customer’s OSP instance.
2. **SECURITY COMPLIANCE ENFORCEMENT MODULES.** These Terms apply only to Customers who are using Open-Source Puppet (“OSP”) and are looking to purchase subscription licenses to Puppet’s Security Compliance Enforcement modules for use with the Customer’s OSP (“SCE” or the “Software”). The Software is a self-enforcing policy that operates as code modules and are directly aligned to the benchmarking standards issued by the Center for Information Security (the “CIS”) and the Defense Information Security Agency’s (“DISA”) Security Technical Implementation Guides (“STIG”). The Software sets and preserves compliant infrastructure configurations and keeps up to date with ever-evolving standards.
3. **GRANT OF LICENSE; RESTRICTIONS.**
 - 3.1. License Grant. Subject to Customer’s compliance with these Terms, and in consideration of Customer’s payment of the applicable license fees, Perforce grants to Customer a limited, non-sub licensable, non-exclusive, non-transferable, fully-paid, time-based license to: (a) install the Software ordered by Customer as set forth in the applicable Order in accordance with the documentation for the Software for Customer’s own direct internal business purposes, and subject to any limitation on use specified in these Terms; (b) use the Software and apply a SCE license configuration to the number of OSP Managed Nodes that Customer has paid applicable subscription license fees; (c) access and use the documentation for the Software solely in conjunction with and in support of Customer’s licensed use of the Software; and (d) make the number of exact copies of the Software as required for archival and back-up purposes, and a reasonable number of copies of the documentation for the Software to support the licensed use of the Software, provided that each copy of the Software and the documentation for the Software retains all copyright and other proprietary notices included in the original copy provided by Perforce to the Customer. Perforce, and Perforce’s Affiliates (which, for purposes of clarity, expressly includes, Puppet, Inc., a Delaware corporation (“Puppet”), hereby reserve all rights in and to the Software that are not specifically granted by these Terms.
 - 3.2. Restrictions. The Software is licensed, not sold. Customer may not use the Software other than for Customer’s internal business purposes, and not for the purposes of any third party nor for any timesharing, rental, Internet, or application service provider, commercial hosting services, or service bureau basis. Customer shall not: (i) reverse engineer or otherwise attempt to discover the source code or human readable data or underlying ideas or algorithms of the Software; (ii) modify, translate, or otherwise made derivative works of the Software; (iii) apply a SCE license configuration to more than one OSP Managed Node; (iv) apply a SCE license configuration to more OSP Managed Nodes than those declared to Perforce at the time of the Order and for which the Customer has not paid to Perforce the appropriate subscription license fees; (v) tamper with, or attempt to tamper with, circumvent or disable, or attempt to circumvent or disable, any license or other limiting function delivered with the Software, or otherwise attempt to gain access to functionality or capacity that is not validly licensed by the Customer, or (vi) remove or otherwise alter any proprietary notices or labels from the Software or from the documentation for the Software, or from any portion thereof.

- 3.3. Intellectual Property Ownership. Puppet, a Perforce Affiliate, is the owner and holder of all of the intellectual property rights in and to the Software and related documentation. Except for the limited rights granted by Perforce to Customer in Section 3.1, Perforce and its Affiliates, including Puppet, retain all right, title, and interest in and to the Software, including all intellectual property rights, registered or unregistered, wherever in the world those rights may exist (collectively, the “Puppet IP Rights”), as well as title to all copies of the Software provided to Customer by Perforce, or copies made by the Customer itself. There are no implied rights or licenses in these Terms. Under these Terms, Perforce is the contracting entity for completing the sale of the subscription licenses for the Software. All rights are expressly reserved by Perforce and its Affiliates, including Puppet.
- 3.4. Open-Source Components. The Software may include components, including, without limitation, programs, applications, tools, utilities, libraries, and other programming code) that are made available from third parties under a free or open source licensing model (the “Open-Source Components”). The Open-Source Components included in the Software are redistributed by Perforce under the terms of the applicable Open Source Components license for such Open Source Component. Your receipt of the Open Source Components will neither enlarge nor curtail your rights or obligations under the license applicable to such Open Source Component. Copies of the licenses for the Open-Source Components that are included with, or used in, the Software can be found in the documentation for the Software.
- 3.5. Third Party Software. The Software includes components under license from third parties (the “Third Party Software”). The components and their applicable third-party license terms for the Software can be found in the documentation for the Software. Customer may not distribute any product or service using the Puppet Marks (defined in the Terms), including in connection with any Open Source Components or Third Party Software.
- 3.6. Inspection. Customer acknowledges and agrees that it has a commercially reasonable process in place to track Customer’s use of the Software and any SCE license configurations that are deployed on the OSP Managed Nodes in order to ensure that the appropriate license fees have been paid by Customer for such use. Customer shall exercise proper use of the Software and any SCE license configurations according to the rights granted to the Customer and in accordance with the restrictions set forth in Section 3 of these Terms. Notwithstanding the foregoing, during the term of these Terms, upon Perforce’s written request, Customer agrees to provide Perforce with a written report signed by Customer’s authorized representative verifying the number of OSP Managed Nodes being applied or otherwise used by Customer with respect to the Software within five (5) business days of the date of the written request. If Perforce has a good faith reason to believe that Customer has incorrectly reported the number of OSP Managed Nodes using the Software, Perforce has the right to have its personnel inspect, but no more one (1) time in any twelve (12) month period, Customer’s use of the Software and any SCE license configuration deployed on the OSP Managed Nodes, following this protocol: (1) identify all unique Software installations; (2) monitor Customer’s personnel’s login to each Software installation; and (3) obtain records of the OSP Managed Nodes assigned to each SCE license. Any such inspection will take place remotely, if possible, or otherwise in person during normal business hours and upon not less than ten (10) business days’ advance written notice.
- 3.7. Usage Data. Unless Customer chooses to disable and opt-out of this functionality, Perforce may collect and may make use of certain information that is automatically generated by the Software regarding Customer’s use of the Software. Such information that is generated includes, without limitation, the browser and operating system types and versions used, installer commands, web interface clicks, mouse gestures, data relating to the submission of online forms (but not the content of the forms), IP addresses, and page views, URLs visited within the Software, error messages and related data about Software errors where Software is hosted by Perforce or its provider(s) or Customer or its provider(s) (“Usage Data”) and Customer consents to such collection and use per this section. Perforce may use and share the Usage Data with third parties to help Perforce improve the user experience and the Software. The Usage Data collected and used by Perforce is de-identified so that it does not reveal the name, role, contact details, or other real-world identifiers of Customer and of Customer’s users.
- 3.8. Affiliates. Notwithstanding anything to the contrary herein, the rights granted to Customer hereunder may be exercised by any of its Affiliates, provided that Customer shall remain responsible at all times for such Affiliates’ adherence to all applicable terms and conditions of this Agreement and shall be primarily liable for any breach of this Agreement by such Affiliate.

4. FEES; PAYMENT.

- 4.1. Fees. Customer will pay Perforce a fee for subscription licenses of the Software based on the number of OSP Managed Nodes declared by Customer. Each OSP Managed Node requires the purchase of one subscription license for the Software. In addition, if applicable, Customer will pay all other fees for support and/or professional services, all as set forth in the corresponding Order. Unless otherwise stated in the Order, the default subscription term is twelve (12) months. Unless otherwise stated in the Order, the subscription term will automatically renew for subsequent twelve (12) month terms unless either party elects to not renew the subscription licenses upon providing thirty (30) days’ written notice prior to the end of the then-current term.
- 4.2. Payment. Customer agrees to pay Perforce all fees within thirty (30) days of the invoice date, unless otherwise set forth in an Order. All payments shall be made in currently available funds payable at the address set forth on Perforce’s invoice. All

amounts payable shall be in the currency of the United States of America and specifically exclude (and Customer is responsible for) any and all applicable sales, use, and other taxes (other than taxes based on Perforce's income). Any overdue amounts are subject to a late payment charge of the lower of 1.5% per month and the highest interest rate permitted by applicable law. All fees are non-refundable, except as provided in Section 8 of these Terms. The licenses granted herein are subject to Customer making all payments due and shall automatically terminate if Customer fails to make any payments when due, including installment payments, whether owed directly to Perforce or a reseller.

5. SUPPORT AND CHANGES.

- 5.1. Support. Customers will have the option, for an additional fee, to purchase a standard support package and/or professional services for the Software.
- 5.2. Maintenance. Periodic updates and patches to the Software are included as part of the subscription fees for the Software, and all updates and patches for the Software will be made available to Customer through the Puppet Forge™ web site, which is located at <https://forge.puppet.com/> (the "Puppet Forge").
- 5.3. Modules and Customer Changes. Other than the SCE, Perforce makes available certain other modules ("Modules") that may be used in connection with the Software, through the Puppet Forge. Perforce is not liable to support the Customer's use or implementation of any Module or package, nor are such Modules or packages covered by the warranty terms of these Terms. Furthermore, Perforce is not responsible for supporting, and is not liable under these Terms in any way (including warranty) for, any changes made by Customer to the Software.

6. WARRANTY; DISCLAIMER; LIMITATION OF LIABILITY.

- 6.1. Warranties. Perforce, on behalf of itself and its Affiliates, represents and warrants that it has sufficient ownership or authority to grant to Customer the licenses granted under these Terms. Each party represents and warrants that: (a) it has the full power and authority to enter into these Terms and to carry out its obligations under these Terms; and (b) it has complied, and will in the future comply, with all applicable laws in connection with the execution, delivery and performance of these Terms.
- 6.2. Software Warranty. Perforce warrants to the Customer that the Software will perform in all material respects as specified in its accompanying documentation under normal use for a period of thirty (30) calendar days from initial receipt or access. Customer's exclusive remedy for a breach of this limited warranty is to return any allegedly defective Software and Perforce, at its option, will replace it or refund any unused fees paid for the Software. This warranty applies to Third Party Software only to the extent its failure to operate causes the Software to fail to conform to this warranty.
- 6.3. Disclaimer. EXCEPT AS EXPRESSLY SET FORTH IN THIS SECTION 6, PERFORCE DISCLAIMS ANY AND ALL WARRANTIES AND REPRESENTATIONS WITH RESPECT TO THE SOFTWARE (INCLUDING REPORTS, ANALYSIS OR CONTENT GENERATED BY THE SOFTWARE), SERVICES, AND/OR DELIVERABLES INCLUDING THE WARRANTIES OF FITNESS FOR A PARTICULAR PURPOSE, NON-INFRINGEMENT, TITLE, ACCURACY, MERCHANTABILITY AND THOSE THAT MAY ARISE FROM ANY COURSE OF DEALING OR PERFORMANCE. THE SOFTWARE AND/OR DELIVERABLES EXCLUDE ANY NON-PERFORCE APPLICATIONS OR PLATFORMS AND ANY ACQUISITION OR USE OF THOSE APPLICATIONS OR PLATFORMS IS SOLELY THE RESPONSIBILITY OF CUSTOMER AND THE PROVIDER OF SUCH APPLICATIONS OR PLATFORMS. PERFORCE MAKES NO WARRANTY REGARDING THE INTEROPERABILITY OF THE SOFTWARE WITH (OR ANY CONTENT GENERATED FROM) ANY NON-PERFORCE APPLICATIONS OR PLATFORMS. PERFORCE DOES NOT WARRANT OR SUPPORT NON-PERFORCE APPLICATIONS OR PLATFORMS.
- 6.4. Limitation of Liability. EXCEPT AS STATED BELOW, EACH PARTY'S LIABILITY TO THE OTHER UNDER THESE TERMS IS LIMITED AS FOLLOWS: (A) NEITHER SHALL BE LIABLE FOR ANY INDIRECT, INCIDENTAL, PUNITIVE, CONSEQUENTIAL, RELIANCE, OR SPECIAL DAMAGES (INCLUDING ANY DAMAGE TO BUSINESS REPUTATION, LOST PROFITS, LOST DATA OR LOST SAVINGS); AND (B) NEITHER SHALL BE LIABLE TO THE OTHER FOR ANY AMOUNTS IN EXCESS OF THE GREATER OF FIVE HUNDRED DOLLARS (\$500) OR THE AMOUNTS PAID BY CUSTOMER TO PERFORCE IN THE TWELVE (12) MONTHS PRIOR TO THE EVENT GIVING RISE TO LIABILITY. THE LIMIT STATED IN (B) WILL NOT APPLY TO ANY UNPAID FEES OR EXPENSES OWED BY CUSTOMER TO PERFORCE OR THE UNLICENSED USE OF THE SOFTWARE, AND NEITHER LIMIT APPLIES TO ANY VIOLATION OF A PARTY'S INTELLECTUAL PROPERTY RIGHTS OR BREACHES OF SECTION 7 (CONFIDENTIALITY). THESE LIMITS APPLY REGARDLESS OF THE FORM OF CLAIM (CONTRACT, TORT OR OTHERWISE) AND EVEN IF THIS SECTION 6.4 IS FOUND TO HAVE FAILED OF ITS ESSENTIAL PURPOSE. SOME JURISDICTIONS MAY NOT ALLOW THE EXCLUSION OR LIMITATION OF INCIDENTAL, SPECIAL, CONSEQUENTIAL, OR OTHER DAMAGES, SO THE ABOVE LIMITATIONS OR EXCLUSIONS MAY NOT APPLY. IN SUCH EVENT, LIABILITY WILL BE LIMITED TO THE GREATEST EXTENT PERMITTED BY APPLICABLE LAW.

7. **CONFIDENTIALITY.** “Confidential Information” means, with respect to a party, including its Affiliates, information that pertains to such party’s (or its Affiliates’) business, including technical, marketing, financial, employee, planning, product roadmaps, performance results, pricing, prototype products and services, inventions, trade secrets, and other confidential or proprietary information. Each party to these Terms agrees to keep confidential and to use only for purposes of performing its obligations under these Terms, any Confidential Information of the other party disclosed pursuant to these Terms that is marked as confidential, is identified at the time of disclosure as confidential, or that would reasonably be considered confidential or proprietary in nature. The obligation of confidentiality shall not apply to information that is publicly available through authorized disclosure, or information that is required to be disclosed by law, government order, or request to be disclosed (provided that the receiving party shall give reasonable advance written notice to the other party prior to such disclosure and an opportunity, at the objecting party’s expense, to take legal steps to resist or narrow such request). Customer acknowledges and agrees that the Software, any documentation for the Software, and any Usage Data shall be deemed as Perforce’s Confidential Information. Each party’s obligations of nondisclosure with regard to Confidential Information are effective as of the date such Confidential Information is first disclosed to the receiving party and will expire five (5) years thereafter; provided, however, with respect to any Confidential Information that constitutes a trade secret (as determined under applicable laws), such obligations of nondisclosure will survive the termination or expiration of these Terms for as long as such Confidential Information remains subject to trade secret protection under applicable laws.
8. **TERM AND TERMINATION.**
- 8.1. These Terms will commence on the Effective Date and continue until terminated as set forth herein.
- 8.2. Either party may terminate these Terms in the event that the other party breaches the terms, conditions, and/or obligations under these Terms. Intent to terminate will be made by a written notice setting forth the details of the breach. Termination will become effective fifteen (15) days from the date that the written notification of intent to terminate was given unless the breaching party has corrected the breach prior to the end of such fifteen (15) day period. Either party will have the right to terminate these Terms effective immediately, by written notice to the other party, if: (a) a petition of bankruptcy is granted against the other party; or (b) the other party makes an assignment for the benefit of creditors; or (c) a petition of bankruptcy is filed by or against the other party and if such petition is not dismissed by the bankruptcy court within sixty (60) days after its filing. .
- 8.2 Upon termination of these Terms for any reason the following terms shall apply: (a) all rights granted under these Terms will immediately terminate and Customer must immediately stop all use of the Software; (b) Customer must return to Perforce or destroy all copies of the Software provided to or made by or on behalf of Customer, and will, within ten (10) days after the effective date of termination, provide Perforce with written certification that all such copies have been returned or destroyed; and (c) all provisions of these Terms that expressly, by implication, or necessity, contemplates performance or observance subsequent to the termination of these Terms will survive termination or expiration of these Terms and will continue in full force and effect, including any outstanding payment obligations. Any termination shall be without prejudice to the rights and remedies of either party that may have accrued prior to such termination. For the avoidance of doubt, and except in the case of breach of these Terms by Perforce, Customer shall not be entitled to a refund of any prepaid fees upon termination of these Terms, and Perforce will not release Customer from its obligations to pay Perforce all fees that have accrued under these Terms prior to its termination.
9. **GENERAL.** The laws of the State of Delaware, U.S.A., govern these Terms (without regard to Delaware conflict of laws rules and excluding the United Nations Convention on Contracts for the International Sale of Goods and the Uniform Computer Information Transactions Act). Customer agrees to exclusively resolve all disputes, claims, and controversies arising from or relating to these Terms in the state or federal courts located in King County, Delaware, and Customer irrevocably waives any objection to such exclusive jurisdiction. Customer agrees that any breach of Section 3 or other infringement or misappropriation of the Puppet IP Rights will result in immediate and irreparable damage to Perforce for which there is no adequate remedy at law. Customer and Perforce may only amend or modify these Terms, or waive any right under these Terms, in a writing that is signed by both parties and that expressly references these Terms. No waiver of any breach of any provision of these Terms shall constitute a waiver of any prior, concurrent or subsequent breach of the same or any other provisions. These Terms, and any Orders entered into in connection herewith, constitute the entire agreement between the parties with respect to the Software and supersedes all prior and contemporaneous agreements or communications. No terms or conditions, including any pre-printed or boilerplate terms and conditions, stated in any Customer purchase order, or in any other Customer documentation, will be incorporated into or form any part of this Agreement, and all such terms or conditions will be null and void and of no force and effect. These Terms, and any amendments thereto, may be executed in one or more counterparts each of which will be deemed an original, but all of which together will constitute one and the same instrument. The parties may transmit their signatures via scanned .PDF, e-signature, or other electronic signature tools with the same effect as if the parties had provided each other with original signatures.

IN WITNESS WHEREOF, the parties to these Terms have executed these Terms to be effective as of the Effective Date.

CUSTOMER:

For and on behalf of itself and its Affiliates

Address:

Address:

Email for notices:

Signature

Print Name

Title

Signature Date

PERFORCE:

PERFORCE SOFTWARE, INC.

For and on behalf of itself and its Affiliates

400 First Ave. North, Suite 400

Minneapolis, Minnesota 55401, USA

Email for notices: legal@perforce.com

Signature

Print Name

Title

Signature Date